Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/GB05/000480

International filing date: 14 February 2005 (14.02.2005)

Document type: Certified copy of priority document

Document details: Country/Office: GB

Number: 0403696.8

Filing date: 19 February 2004 (19.02.2004)

Date of receipt at the International Bureau: 04 April 2005 (04.04.2005)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)







PCT/GB2005/000480

The Patent Office Concept House Cardiff Road Newport

INVESTOR IN PEOPLE

South Wales NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated

15 March 2005

William Morell

•
•



Patents Form 1/77

Patents Act 1977 (Rule 16)





Request for grant of a patent THE PATENT OFFICE The Patent Office (Saw the notes on the back of this form. You can also get Cardiff Road an explanatory leaflet from the Patent Office to help FEB 2004 Newport you jill in this form) Gwent NP9 IRH Your reference 0403696.8 1 9 FEB 2004 Patent application munber (The Patent Office will fill in this part) Avecia Limited Full name, address and postcode of the or of Hexagon House each applicant (undertine all surnames) Blackley Manchester, M9 8ZS Patents ADP number (if you know it) 07764137001 If the applicant is a corporate body, give the United Kingdom country/state of its Incorporation Process and Composition Tide of the invention GAIRNS, Raymond Stevenson Name of your agent (If you bave one) "Address for service" in the United Kingdom Avecia Limited to which all correspondence should be sent Hexagon House (Including the postcody) PO Box 42 Blackley Manchester, M9 8ZS 69334729901 Patents ADP number (from know it) Date of filling Priority application number If you are declaring priority from one or more Colunity (day / month / your) (if year know it) carlier patent applications; give the country and the date of filing of the or of each of these earlier applications and (Tyou know to the or each application number Date of Sling Number of carlier application If this application is divided or otherwise (day / month / your) derived from an earlier UK application, give the number and the filing date of the earlier application 8. Is a statement of inventorship and of right to grant of a patent required in support of this request? Gunver Tes' if: a) any applicant named to part 3 is not an inventor, or Yes b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body. See moter (d))



Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description

Claim(c)

Abstract



Derwing(4)

10. If you are also filling any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to graint of a pateint (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Palents Form 10/77)

> Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

Avecia Limited Authorised Signatory

12. Name and daytime relephone number of person to contact in the United Kingdom K.M.Pinder/G.Shepherd 0161 721 1361/2

|C|| P|| ##40 | 120 \Q

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be probibled or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to probabit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdoin for a patent for the same invention and either no direction probibiting publication or communication has been given, or any such direction has been revoked.

- a) If you need belp to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not chough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Patents Form 1/77



PROCESS AND COMPOSITION

The invention relates to a process for preparing lithium amide and to a composition obtainable by said process.

Lithium arnide is a strong inorganic base that finds use as a reagent in synthetic organic chemistry (Encyclopaedia of Reagents for Organic Synthesis, Vol. 5, 3031, L. A. Paquette, John Wiley 1995).

Various methods are known for the preparation of lithium amide. One known process involves the reaction of lithium metal and gaseous ammonia at high temperatures, often around 400°C.

Low temperature processes whereby lithium metal is dissolved in liquid ammonia and subsequently reacted under the catalysis of a transition metal compound are also known (for example the use of Cobalt is described in US4,206,191, and the use of iron III nitrate is described in Gmelln, Lithium, Supplementary Volume 20, 279).

US5,486,343 describes a method for preparing lithium amide in which lithium metal is dissolved in ammonia in the presence of solvent to form lithium bronze and subsequently the lithium bronze is thermally decomposed to form lithium amide, hydrogen and ammonia.

Each of these methods suffers from the disadvantage that large quantities of hydrogen are produced as a by-product of the reaction.

In EP1238944, a process is described wherein hydrogen production is suppressed by the presence of a diene or an arylolefin. However, in our hands, it has been found that lithium amide produced according to the method described in EP1238944 lacks activity particularly when used in reactions involving the production and reaction of enclates by deprotonation of certain esters of carboxylic acids.

The object of the invention is to overcome the disadvantages of the prior art and in particular to provide a method for preparing active lithium amide while ameliorating the production of gaseous hydrogen.

Accordingly, there is provided a process for preparing a lithium amide composition in which in a first step lithium metal is brought into contact with ammonia to form lithium bronze and in a second step the lithium bronze is reacted with a 1,3-diene or an arylolefin in the presence of a solvent wherein the temperature is maintained at or below the boiling point of ammonia.

In the first step where the lithium metal is brought into contact with ammonia, this may be achieved by charging the lithium metal to the ammonia. More preferably, the lithium metal is brought into contact with ammonia by charging the ammonia to the lithium metal.

10

5

15

20

25

30

35



10

15

20

25

30

Preferred 1,3-dienes or aryiolefins are butadiene, isoprene, piperylene, dimethylbutadiene, hexadiene, styrene, methyl styrene, divinylbenzene, naphthalene or anthracene.

The first reaction step may be carried out solvent free. However, operations are preferably carried out in a solvent in the first reaction step as well. Preferable solvents include acyclic or cyclic aliphatic hydrocarbons, aromatic hydrocarbons, ethers or mixtures thereof. Examples of suitable solvents include pentane, cyclopentane, hexane, heptane, octane, cyclohexane, toluene, xylene, cumene, ethyl benzene, tetraline, diethyl ether, tetrahydrofuran (THF), 2-methyl-THF, tetrahydropyran, dilsopropyl ether, dibutyl ether, dioxan, methyl-tert-butyl ether or glycol ether.

The size and physical form of the lithium metal used in the reaction may be varied widely. Lithium may be used as a powder obtained from dispersion processes, as granules sometimes referred to as sand or shot depending on size, or as bulk metal means pieces of any of the commercially available forms of lithium.

Anhydrous ammonia is used in amounts of 1 to 10, or more, equivalents per mole equivalent of lithium metal. One to six equivalents of ammonia are preferred, four to five equivalents are most preferred. While ten or more equivalents of ammonia can be employed this does not seem to have a great benefit on conversion and assay and results in a lot of excess ammonia to be recovered and recycled.

The method of introducing the ammonia is not critical. The ammonia can be introduced into the reactor above or below the surface of the solvent-lithium phase. Introducing the ammonia below the surface of the solvent-lithium phase provides useful agitation of the reaction mixture.

Preferably during both reaction steps lies, the temperature is maintained between - 33 and -78°C, and more preferably between -35 and -65°C. Most preferably, the temperature is maintained at -40°C.

The heat of reaction in the first reaction step can be controlled, for example, by adjusting the rate at which the ammonia is added to the lithium.

When a solvent is used in the first reaction step, the lithium bronze that is formed by the reaction of the lithium and ammonia floats on the solvent and can optionally be separated for purification purposes.

The heat of reaction in the second reaction step can be controlled, for example, by adjusting the rate at which the 1,3 diene or arylolefin is charged to the lithium bronze. The lithium amide that is formed is insoluble and heavier than the reaction solution. The byproduct formed by hydrogenation of the 1,3 diene or arylolefin is typically soluble in the reaction solvent.

Typically, 0.5 equivalents of 1,3-diene or arylolefin per mole equivalent of lithlum are used. However, for certain arylolefins having multiple olefinic bonds, for example divinyibenzene, 0.25 equivalent of the arylolefin is typically required.

35

18-FEB-2004 15:02 FROM: AVECIA LTD





10

15

20

25

30

35

Excess ammonia may optionally be discharged in gaseous form, often the excess ammonia is recycled or reclaimed. Discharge of excess ammonia preferably is carried out between -33 and -76°C by distillation at reduced pressure.

The lithium amide composition is typically isoleted as a solvent slurry. Advantageously, the lithium amide composition obtainable by the process according to the first aspect of the present invention shows improved activity and may be used directly in organic reactions. For example, the lithium amide composition obtainable by the process according to the first aspect of the present invention shows improved levels of activity when deprotonating t-butyl acetate. The activity of lithium amide compositions (from various sources) towards enolate formation can readily be compared by observing the amount of enolate self-condensation product formed when aliquots of t-butyl acetate have been treated with lithium amide.

The lithium amide composition obtainable by the process according to the first aspect of the present invention preferably comprises lithium amide, ammonia and optionally one or more solvents.

Ammonia in the lithium amide composition may be present as free ammonia, or may be in some way associated with the lithium amide, for example present as a complex.

Optional solvents include those solvents as described in the first aspect of the present invention, and also includes the by-products obtained by hydrogenation of the 1,3 diene or arylolefin.

Preferably, the lithium amide composition obtainable by the process of the first aspect of the present invention comprises a molar ratio of lithium amide : ammonia greater than 1 : 0.5 (LiNH $_2$: NH $_3$), more preferably comprises a lithium amide : ammonia molar ratio greater than 1 : 1 (LiNH $_2$: NH $_3$).

The invention is illustrated by the following examples.

Example: 1: Li bronze formation and conversion to lithamide (4% w/w Li in THF using styrene)

- Purge reaction vessel (1) jacketed vessel) with argon to remove air and to prevent condensation when cooling is applied.
- 2. Cool to 65°C.
- Charge required THF (60.90g) to vessel.
- 4. Flush the vessel and charging lines with argon in order to establish an inert atmosphere. (Note: Li bronze reacts with N_2 hence need argon)
- 5. Charge lithium (4.0g) to vessel gradually over a couple of minutes.
- 6. Open valve for ammonia addition to vessel.

10:+01023 814444

10851211910

TA-LEB-S004 T2:05 LKOW: WAECIN FID



10

15

20

25

30

35

- Condense ammonia required into vessel to complete lithium bronze formation (39g). (Note: Formation of a bronze layer on the top surface of the reaction mixture. Significant exotherm. Temperature is not allowed to exceed -33°C).
- 8. Once the ammonia addition is complete, stop feed of ammonia. (Temperature is maintained at -40°C).
- Charge 10% of styrene aliquot (3.33ml of 99% styrene) to the vessel via syringe purity @ 0.8 ml/min. (Note: An exotherm will indicate the formation of lithium amide; this is larger than that observed due to the condensation of the NH₃.)
- 10. If an exotherm is not detected, open the feed valve on the ammonia and condense further ammonia until lithium amide starts to form (i.e. exotherm detected, generally 1-2 g needed).
- 11. Once formation of lithium amide has started, close the feed valve and main valve on the ammonia
- 12. Charge a further 30.00ml 99% styrene to the vessel via syringe pump @ 0.8 ml/min (note: Formation of a grey suspension. A significant exotherm is expected, accompanied by a temperature rise. The addition rate is determined by heat transfer characteristics of vessel in order to maintain temp close to -40C).

Example 2: Li bronze formation and conversion to lithamide (1% w/w Li in hexane using divinylbenzene)

- 1. Purge reaction vessel with argon to prevent condensation in 1L jacketed vessel.
- 2. Cool to 65°C.
- Charge required hexane (171.8g) to vessel.
- 4. Flush the vessel and charging lines with argon in order to establish an inert atmosphere. (Note: Li bronze reacts with N_2 hence need argon)
- 5. Charge lithium (2.0g) to vessel gradually over a couple of minutes.
- 6. Open valve for ammonia addition to vessel.
- Condense ammonia required into vessel to complete lithium bronze formation (20g). (Note: Formation of a bronze layer on the top surface of the reaction mixture. Significant exotherm. Temperature is not allowed to exceed -33°C).
- 8. Once the ammonia addition is complete, stop feed of ammonia. (Temperature is maintained at -40°C)
- 9. Charge 10% of divinylbenzene aliquot (1.27ml of 80% divinylbenzene) to the vessel via syringe pump @ 0.4 ml/min. (Note: An exotherm will indicate the formation of lithium amide; this is larger than that observed due to the condensation of the NH₃. Divinylbenzene has two reactive bonds, therefore half as much needed relative to styrene).

:



10

15

20

25

30

35

- 10. If an exotherm is not detected, open the feed valve on the ammonia and condense further ammonia until lithium amide starts to form (i.e. exotherm detected, generally 1-2 gineeded).
- 11. Once formation of lithium amide has started, close the feed valve and main valve on the ammonia
- 12. Charge a further 11.4ml 80% divinylbenzene to the vessel via syringe pump @ 0.4 ml/min (note: Formation of a white suspension. A significant exotherm is expected, accompanied by a temperature rise. The addition rate is determined by heat transfer characteristics of vessel in order to maintain temp close to ±40C).

Comparitive Example 1: Li bronze formation and conversion to Lithium Amide in hexane using styrene (as described in EP1238944)

- 1. Purge reaction vessel (1) jacketed vessel) with argon to remove air and to prevent conderisation when cooling is applied.
 - 2. Ensure reaction vessel is held at 20°C.
 - 3. Charge hexane (153.2g) to vessel .
 - 4. Flush the vessel and charging lines with argon in order to establish an inert atmosphere. (Note: Li bronze reacts with N_2 hence need argon)
 - Charge lithium (4.0g, 0.576mol) to vessel gradually over a couple of minutes.
 - 6. Open valve for ammonia addition to vessel.
 - Bubble ammonia into vessel to complete lithium bronze formation over 3 hours (40g, 2.35mol, 4.07eq). (Note: Formation of a bronze layer on the top surface of the reaction mixture. Significant exotherm).
 - Once the ammonia addition is complete, stop feed of ammonia.
 - 9. Charge the styrene aliquot (33.3ml of 99% styrene) to the vessel via syringe pump @ 0.26 ml/min. (Note: An exotherm will indicate the formation of lithium amide; this is; larger than that observed due to the condensation of the NH₃. Formation of a grey suspension. A significant exotherm is expected, accompanied by a temperature rise.)

Lithamide Activity Test - self-condensation of alkyl acetate enclate

The formation of the enclate on addition of alkyl acetate to lithium amide is effectively complete within the mixing time. Small amounts of unreacted alkyl acetate will catalyse the self-addition as the resulting product is more acidic than the initial enclate (see scheme). Consequently, the ratio of self-addition product to free TBA on warming to ambient is indicative of the activity of the enclate.

Scheme 1 : Self condensation of alkyl acetate enclate

Method:

TBA (1.05eq) is added to lithamide slurry (1eq). An aliquot is run-off into water and extracted with ethyl acetate and analysed by GCMS. Compounds were identified by 5 comparison with known standards and peak area ratio recorded.

- Lithamide purchased from Fisher™. (Aliquots tested at -40°C and at 20°C) Result: no TBAA detected.
- Lithamide purchased from FisherTM. Lithamide is suspended in 1 eq of liquid 10 В. arrimonia before adding TBA. Result: no TBAA detected
 - Lithamide from Comparative Example 1. C. Result: no TBAA detected

Résults: TBA:TBAA 2.5:1

- Lithamide from Comparative Example 1. Additional ammonia (4eq) added prior to Đ. 15 charging the TBA, and left for 1 hour
 - Result: trace amounts of TBAA detected Lithamide from Example 1. Aliquot maintained at -40°C, TBA introduced and E. tested for presence of TBAA immediately.
- Results; TBA:TBAA 2.5:1 20 Lithamide from Example 2. Aliquot maintained at ~40°C, TBA introduced and F. tested for presence of TBAA immediately.



10

20

25

35

CLAIMS

- 1. A process for preparing a lithium amide composition in which in a first step lithium metal is brought into contact with ammonia to form lithium bronze and in a second step the lithium bronze is reacted with a 1,3-diene or an aryiolefin in the presence of a solvent wherein the temperature is maintained at or below the boiling point of ammonia.
- 2. A process according to Claim1 wherein in the first step the lithium metal is brought into contact with ammonia by charging the ammonia to the lithium metal.
- 3. A process according to Claim 1 or 2 wherein the 1,3-diene or arylclefin is butadiene, isoprene, piperylene, dimethylbutadiene, hexadiene, styrene, methyl styrene, divinylbenzene, naphthalene or anthracene.
- A process according to Claim 3 wherein the 1,3-diene or anylolefin is styrene, methyl styrene or divinylbenzene.
 - 5. A process according to any one of Claims 1 to 4 wherein the solvent is pentane, cyclopentane, hexane, heptane, octane, cyclohexane, foluene, xylene, cumene, ethyl berizene, tetraline, diethyl ether, tetrahydrofuran (THF), 2-methyl-THF, tetrahydropyran, diisopropyl ether, dibutyl ether, dioxan, methyl-tert-butyl ether or glycol ether.
 - 6. A process according to any one of Claims 1 to 5 wherein four to five equivalents of anhydrous ammonia per mole equivalent of lithium metal are present in the first step.
 - 7. Approcess according to any one of Claims 1 to 6 wherein the temperature of both reaction steps is maintained between -33 and -78°C, more preferably between -35 and -65°C, and most preferably at -40°C.
- 30 8. A process according to any one of Claims 1 to 7 wherein excess ammonia is discharged by distillation at reduced pressure at a temperature of between -33 and -78°C and wherein the resulting lithium amide composition comprises a molar ratio of lithium amide : aimmonia greater than 1 : 0.5 (LiNH₂ : NH₃), more preferably comprises a lithium amide : aimmonia molar ratio greater than 1 : 1 (LiNH₂ : NH₃).
 - 9. A lithium amide composition obtainable by a process according to any one of Claims 1 to 8.

. . . * * .